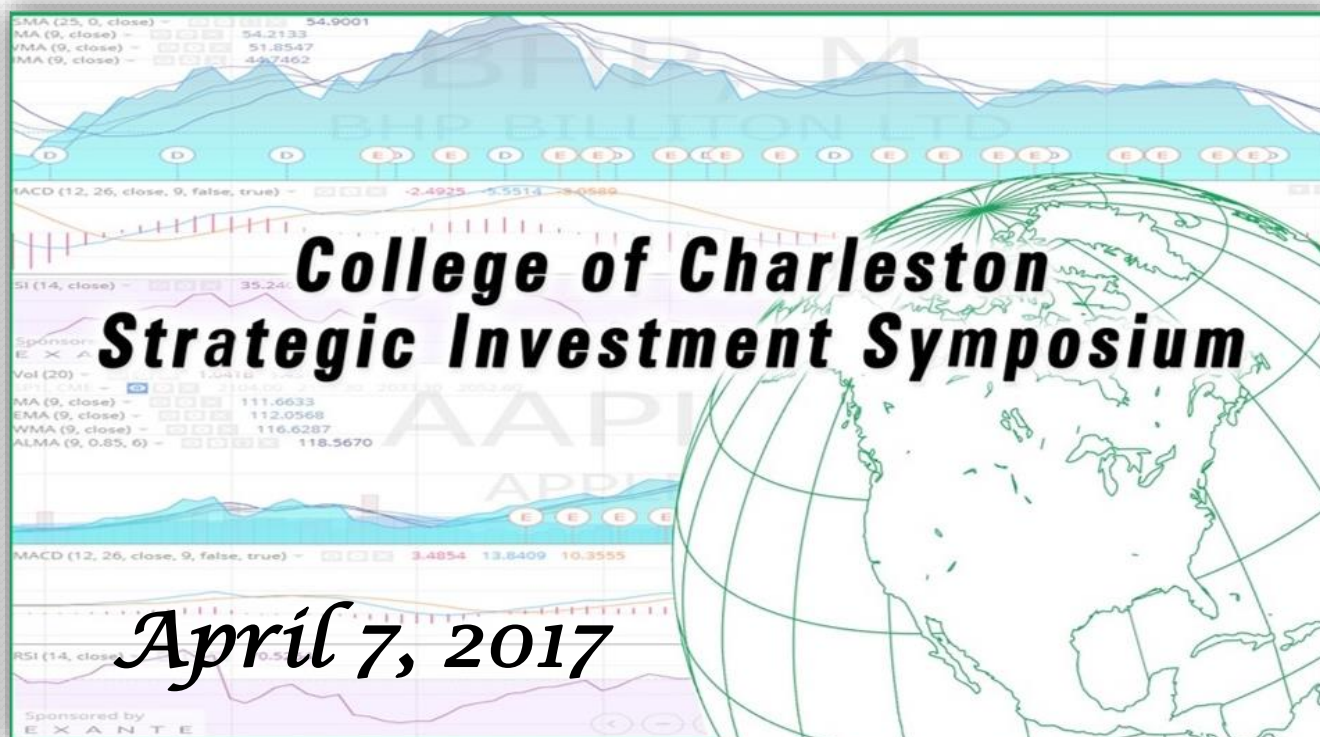


# The Third Annual



<b>Time</b>	<b>Activity</b>	<b>Location</b>
8:00 AM – 9:00 AM	Registration / Meet and Greet	Tate Gallery
9:00 AM – 10:30 AM	Global Markets Outlook	Wells Fargo Auditorium
11:00 AM – 12:30 PM	Value Investing	Wells Fargo Auditorium
12:30 PM – 2:00 PM	Keynote Luncheon Address	Tate 202
2:00 PM – 3:30 PM	<u>Breakout Session 1</u> Session 1A: Value Strategies Session 1B: Private Equity Investment Session 1C: Fixed Income Investment	Beatty 220 Tate 207 Tate 202
3:45 PM – 5:15 PM	<u>Breakout Session 2</u> Session 2A: Real Estate Investing Session 2B: Financial Technology	Tate 207 Tate 202
5:15 PM – 6:30 PM	Cocktail Reception	Tate Gallery

# Global Markets Outlook Panel

8:00 AM – 9:30 AM – Wells Fargo Auditorium

## Jay Tucker – Chief Operating Officer – George Weiss & Associates – New York, NY



Mr. Tucker joined George Weiss in 2008. He began his tenure with the firm as a Portfolio Manager for the Rates/FX strategy. Previously, in 2003, he opened the macro fund, East Wind Capital Partners, LP, as well as East Wind Capital International, Ltd. Prior to that, Mr. Tucker spent a year's managing a macro fund for Troubh Partners. Between 1997 and 1999, he managed \$75 million for Caxton in a macro/emerging markets portfolio. He spent 11 years at Credit Suisse First Boston beginning in 1985, managing foreign exchange and local emerging markets trading. Mr. Tucker also worked at Dean Witter trading currencies and began his career in 1980 at Mocatta Metals trading gold and foreign exchange. He is a former board member of the Financial Index Exchange, the Currency Committee of the Chicago Mercantile Exchange, and the Real Estate Finance Alliance at George Washington University. He is a current Board Member of Franklin College in Lugano, Switzerland. Mr. Tucker is also involved in The Disability Opportunity Fund, a non-profit providing housing loans and advice to parents of children with disabilities. He received his BA degree from George Washington University and an AA Degree from Franklin College in Lugano, Switzerland.

## Phillip Colmar – Partner, Global Strategy – MRB Partners – New York, NY



Mr. Colmar is a founding partner of MRB Partners. He has extensive experience, both as a strategist and economist. Mr. Colmar focuses on global multi-asset investment strategy, trading opportunities, and financial market risks. His expertise is in identifying and developing macro and investment themes. Mr. Colmar speaks at conferences, seminars and client meetings. Over his career, Mr. Colmar has covered all major global asset classes and has developed comprehensive frameworks, models, and indicators. Prior to forming MRB, he was the Managing Editor of both the Daily Insights and Global Fixed Income Strategy services at BCA Research Inc. Mr. Colmar has a MSc. in Finance from Queen's University, as well as a B.A. in Economics and a Bachelor of Business Administration (Finance) from Bishop's University.

## Richard Yamarone – Senior Economist – Bloomberg – New York, NY



An economist who has roughly three decades of experience on Wall Street, Mr. Yamarone focuses on monetary & fiscal policy, economic indicators, fixed income, commodities, and general macroeconomic conditions. He writes for Bloomberg Intelligence – Economics providing commentary and analysis for Bloomberg Real Time Economics and Bloomberg Brief: Economics, a daily newsletter that features analysis, data, and news on the forces shaping the global economy. He is the creator of the Bloomberg Orange Book – a compilation of macroeconomic anecdotes gleaned from comments CEOs and CFOs make on quarterly earnings conference calls. Earlier in his career, Mr. Yamarone was director of economic research at Argus Research. He has won numerous accolades for his work, including being featured as one of the top 10 economists in the U.S. by USA Today in 2007 and “Nostradamus of the Financial Industry” by Bank Advisor in 2008 for his prediction of the financial crises.

## Paul Meeks, CFA – Chief Investment Officer & Equity Portfolio Manager – Sloy, Dahl & Holst – Portland, OR



Paul Meeks, CFA is the Chief Investment Officer of Sloy, Dahl, and Holst in Portland, OR. He also teaches Finance and Investments courses at Western Washington University in Bellingham, WA. He has been an equity analyst or portfolio manager since 1987. He's best known for starting and managing the world's largest technology mutual funds franchise (\$8 billion in equities) for Merrill Lynch Investment Managers. He lived and worked in Charleston from 2005 to 2012. He taught at the College of Charleston and The Citadel. His specialties within equities are Technology and Sustainable Investing. He has written for and frequently has appeared on CNBC since 1995. He typically “talks tech” while on air.

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# Value Investing Keynote Panel

11:00 AM - 12:30 PM - Wells Fargo Auditorium

**Lauren Templeton – Founder and President – Templeton & Phillips Capital Management – Chattanooga, TN**



Lauren C. Templeton is the founder and president of Templeton & Phillips Capital Management, LLC; a value investing boutique located in Chattanooga, Tennessee. The company is the general partner to the Global Maximum Pessimism Fund. Lauren is the great niece of Sir John M. Templeton and is a current member of the John M. Templeton Foundation, which was established in 1987 by renowned international investor, Sir John Templeton. Lauren began her career working with managed portfolios and investments in 1998, beginning as a junior associate at the financial advisor Homrich and Berg and later the hedge fund management company New Providence Advisors both of Atlanta. Ms. Templeton is also the co-author of, *Investing the Templeton Way: The Market Beating Strategies of Value Investing* Legendary Bargain Hunter, 2007, McGraw Hill, which has been translated into nine languages. Ms. Templeton received a B.A. in Economics from the University of the South. She is the founder and former president of the Southeastern Hedge Fund Association, Inc. based in Atlanta, Georgia. Ms. Templeton currently serves on the Board of Trustees at the Baylor School, Board of Advisors for The Beacon Center of Tennessee and the Atlas Board of Overseers.

**Jeffrey D. Stacey, CFA – Chairman and CEO– Stacey Muirhead Capital Management - Waterloo, Ontario, Canada**



Jeffrey Stacey is the founder of Stacey Muirhead Capital Management Ltd. Jeff has almost 30 years of investment industry experience. Prior to starting Stacey Muirhead Capital Management Ltd., he was employed with a boutique Toronto investment firm where he was also a shareholder. Jeff has an Honours Bachelor of Business Administration degree from Wilfrid Laurier University and is a Chartered Financial Analyst. He is a member of the Finance and Investment Committee and an Advisory Board Member of the student managed School of Accounting and Finance Investment Fund at the University of Waterloo. He is also an Advisory Board Member of the student managed Ivey Value Fund at the University of Western Ontario. Additionally, he serves as a member of the Dean's Advisory Council at the Wilfrid Laurier University School of Business and Economics and on the Board of Trustees at Parkminster United Church.

**Jeffrey Everett, CFA – Co-Chief Investment Officer – Invesco Charter – Atlanta GA**



Jeff Everett is Co-Chief Investment Officer (CIO) for the Invesco Global Core Equity team, along with Ron Sloan. He is a seasoned leader of global and international equity teams, with nearly 30 years' investment management experience. Mr. Everett joined Invesco in 2016 from Wells Capital Management, Inc. (WellsCap), where he was Managing Partner and Portfolio Manager for the EverKey Global Equity team. He joined WellsCap following its acquisition of Ever Key Global Partners, where Mr. Everett serves as a founding Managing Partner since 2007. At EverKey Mr. Everett developed a unique investment approach utilizing fundamental research and risk research in order to construct global portfolios. Prior to founding EverKey, Mr. Everett spent 19 years at Templeton Global Advisors, serving as President from 2001 - 2007. Mr. Everett also served as lead portfolio manager for several funds for both US and non-US investors. Mr. Everett earned a bachelor's degree in finance from Pennsylvania State University, and is also a CFA charter holder.

**Vitaliy N. Katsenelson, CFA – Chief Investment Officer – Investment Management Associates – Greenwood Village, CO**



Vitaliy N. Katsenelson is Chief Investment Officer at Investment Management Associates. He is the author of *Active Value Investing* (Wiley 2007) and *The Little Book of Sideways Markets* (Wiley 2010). His books have been translated into eight languages. While his primary focus is on discovering undervalued companies for his clients, he is also known for his uncommon common sense, which is regularly expressed in articles in the *Financial Times*, *Barron's*, *Bloomberg Business Week*, and the *Christian Science Monitor*, among other outlets. He is a regular columnist at *Institutional Investor* magazine. Previously, he was an adjunct faculty member at the University of Colorado Graduate School of Business, where he taught the Practical Equity Analysis class. He is a CFA charter holder, a member of the CFA Institute, and has served on the board of the CFA Society of Colorado. Katsenelson received both his bachelor of science and his master of science in finance from the University of Colorado at Denver. *Forbes* magazine called him “the new Benjamin Graham.” You can read his articles at [ContrarianEdge.com](http://ContrarianEdge.com).

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Founded in 1990 and headquartered in Charleston, SC, Tandem Investments Advisors, Inc. is the leading equity manager for offering limited-volatility client-centric approach to U.S. Large Cap and U.S. Mid Cap portfolios. Tandem portfolios are strategic, defensive, tax sensitive, logical and client friendly. Limiting volatility and providing consistent investment returns has kept investors invested, particularly in turbulent times. Keenly focused on the client, Tandem is willing to be different to achieve results. More information can be found at [www.tandemadvisors.com](http://www.tandemadvisors.com).

## *Keynote Luncheon Address*

*12:30 PM - 2:00 PM - Tate Gallery and Tate 202*

### **Charles H. Brandes, CFA**

**Founder and Chairman, Brandes Investment Partners  
San Diego, CA**

Charles Brandes is Founder and Chairman of Brandes Investment Partners, and shares responsibility for driving strategic decisions and monitoring implementation of the firm's vision and objectives. Mr. Brandes is a member of the All Cap Investment Committee, as well as the firm's Investment Oversight Committee, which monitors the processes and activities of the firm's investment committees. He is also a member of the Brandes Institute Advisory Board.

Early in his career, Mr. Brandes became a student of the value investment philosophy and an acquaintance of Benjamin Graham, widely considered the father of the value-investing approach. When the bear market of 1973 - 1974 created unusually large long-term opportunities for disciplined, patient investors, Mr. Brandes decided it was time to launch his own firm, and founded Brandes in 1974.

Mr. Brandes has authored two books on value investing, "Brandes on Value: the Independent Investor" (published in 2014) and "Value Investing Today (published in 2003). He has served on the boards of numerous organizations involved in scientific, charitable and cultural work, including the Salk Institute for Biological Studies, where he also served as Chair of the Investment Committee.

Mr. Brandes earned his BA in economics from Bucknell University. His relevant experience began in 1968, and in 2015 he received lifetime achievement awards from Bucknell University and the London Value Investor Conference. Mr. Brandes is a frequent lecturer at graduate business schools, CFA Society meetings and industry conferences across the globe.



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**CFA Society  
South Carolina**

CFA Society South Carolina promotes the highest ethical standards and professional excellence within the local investment community. CFA Society South Carolina is an association of local investment professionals, consisting of portfolio managers, security analysts, investment advisers, and other financial practitioners, that has served CFA® charter holders and CFA® Program candidates locally for the last 15 years. CFA Society South Carolina has over 100 members and is a member society with CFA Institute. Our members are part of a global network of more than 130,000 finance and investment professionals in more than 150 countries and territories. Our goal is to make a difference in the future of the profession at local level. For more about CFA Society South Carolina, including our monthly events and how to join, please visit [www.cfasyarakat.org/southcarolina](http://www.cfasyarakat.org/southcarolina).



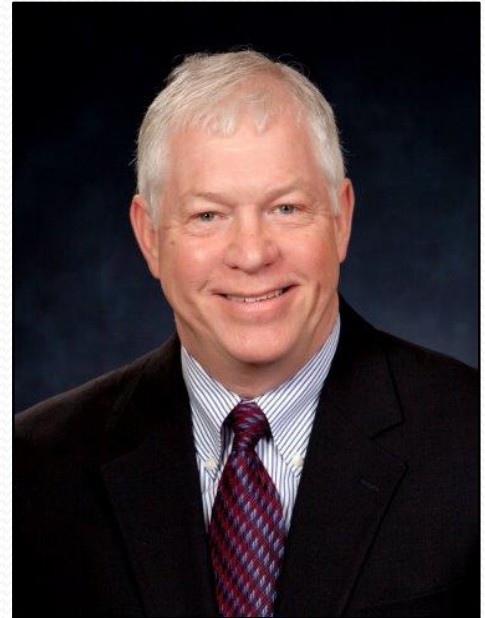
## *Breakout Session 1A: Value Strategies*

*2:00 PM - 3:30 PM - Beatty 220*

### **Bob Robotti**

**Founder and Chief Investment Officer, Robotti & Company Advisors  
New York, NY**

Bob Robotti is the Founder, President and CIO of Robotti & Company Advisors, a registered investment advisor based in New York City. Guided by the classic tenets of value investing, Robotti & Company Advisors uses a proprietary research approach to identify companies with solid balance sheets and the ability to generate significant amounts of free cash flow, yet are misunderstood, neglected, or just out-of-favor. Once identified, Robotti's investment team focuses on deep primary industry and company research to select investment holdings through the lens of a long-term business owner.



In this capacity, Bob currently sits on the boards of Panhandle Oil & Gas Inc. (NYSE: PHX), AMREP Corp. (NYSE:AXR) and Pulse Seismic Data Inc. (TSX: PSD) for which he also serves as Chairman. Prior to founding Robotti & Company in 1983, he was the CFO of Gabelli & Company.

Bob has been featured in publications such as The Wall Street Journal, Barron's, Bloomberg, Forbes, Fortune, Outstanding Investor Digest, Value Investor Insight and Graham & Doddsville and is a frequent speaker at business schools and investing conferences around the world. He holds a BS from Bucknell University and an MBA from Pace University

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The logo for HDH Advisors LLC features the letters "HDH" in a large, white, serif font, with "ADVISORS LLC" in a smaller, white, sans-serif font below it. The text is set against a dark red background with a thin white vertical bar on the left side.

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## *Breakout Session 1B: Private Equity Investing --- 2:00 PM - 3:30 PM --- Tate 207*

### **Wiley Becker – Partner – Alerion Ventures – Charleston, SC**



Mr. Becker leads the due diligence function at Alerion Ventures, an early stage venture capital firm focused on investments in enterprise software companies throughout the Southeast. He previously was Principal for Square 1 Ventures, the private equity arm of Square 1 Bank, which went public in March 2014. Wiley also helped found the SouthCoast Angel Fund, a committed capital angel fund in New Orleans. Mr. Becker has a BS from Davidson College, attended Babson College, has an MBA from Wake Forest, and lives in Charleston.

### **Richard Maclean – Managing Partner – Frontier Capital – Charlotte, NC**



Richard Maclean, Managing Partner of Frontier Capital, co-founded the firm in 1999. His primary industry focus has been on the firm's Managed IT and HCM Software and Services investments. Richard is also involved in setting overall firm strategy and leading investor relations and fundraising. Prior to co-founding Frontier, Richard worked in the Investment Banking Group of NationsBank in Charlotte, NC and was a General Partner with Blue Ridge Capital. Richard currently serves on the boards of ECI (Electronic Commerce, Inc.), Zephyr, TalentReef, and Celergo.

### **John Osborne – Executive Administrator – Charleston Angel Partners – Charleston, SC**



Born and raised in Billings, Montana, John Osborne studied finance and economics while attending Charleston Southern University on a golf scholarship. Mr. Osborne has successfully launched fundingcharleston.com and The Harbor Entrepreneur Center, both in Charleston, SC. Fundingcharleston assists emerging entrepreneurs with launching new ventures and sourcing early stage capital. The Harbor Entrepreneur Center is a non-profit initiative dedicated to creating collision among entrepreneurs in the region. Mr. Osborne took over as EA of Charleston Angel Partners in 2016. Prior work experience includes a decade working various roles in the financial services industry including in commercial banking, private banking and wealth management. A 2008 graduate of Leadership Charleston, John continued his civic involvement and served as Chairman of the Charleston Young Professionals group and board member of the Charleston Metro Chamber of Commerce.

## *Breakout Session 1C: Fixed Income Investing --- 2:00 PM - 3:30 PM --- Tate 202*

### **Stefan Fencel, CFA – Institutional Portfolio Strategist – First Citizens Wealth Management– Charlotte, NC**



Mr. Fencel functions as the liaison between the Capital Management Group and institutional clients, working closely with both to develop investment policies, asset allocations, manager structure and performance analytics and reporting. Prior to joining First Citizens, Mr. Fencel worked as the Corporate and Institutional Investment Consultant with NewBridge Bank where he also served on the Investment and Trust Committee. Prior to his time with NewBridge Bank, he served as the Director of Investment Strategy at Square 1 Asset Management, a subsidiary of Square 1 Bank, a division of PacWest Bancorp. Mr. Fencel has more than 18 years of investment management experience with a 12-year focus on fixed income markets. He received his Bachelor of Arts degree in Political Science from Miami University. Mr. Fencel has earned the Chartered Financial Analyst designation and is a member of the CFA Institute and CFA North Carolina Society.

### **Walter B. Todd, III – Chief Investment Officer and Chair of Investment Committee– Greenwood Capital– Greenville, SC**



Walter B. Todd, III oversees a highly experienced Investment Team and the Firm's diverse offering of investment strategies. He also serves as Portfolio Manager for the GCA Large Cap and Mid Cap strategies. Mr. Todd has more than 22 years of experience in the financial industry and joined Greenwood Capital in 2002. Prior to joining Greenwood Capital, he served as an Investment Banking Associate at Lehman Brothers Global Real Estate Group in New York. He has also worked as an analyst for First Union Capital Markets Group in Charlotte, NC. Mr. Todd received his undergraduate degree in Business Administration from Washington & Lee University, where, upon graduation, he was awarded a Fulbright Scholarship. Mr. Todd earned his M.B.A. in Finance from The Wharton School, where he graduated as a Palmer Scholar in May 1999.

### **Stephen Liberatore, CFA – Managing Director / Lead Portfolio Manager, SRI Fixed Income – TIAA Investments – Charlotte, NC**



Mr. Liberatore is the lead portfolio manager for the organization's Socially Responsible Investment (SRI) fixed income mandates and holds responsibility for investment strategy and securities selection. He joined the TIAA organization in 2004. Mr. Liberatore has 21 years of industry experience, including positions at Nationwide Mutual Insurance Co. and Protective Life Corporation, where he was responsible for portfolio management, credit research and trading for both total return and liability-driven assets. Mr. Liberatore holds a B.S. from the State University of New York at Buffalo and an MBA in finance and operations from Wake Forest University's Babcock Graduate School of Management. He also earned the Chartered Financial Analyst designation and is a member of the CFA Society North Carolina and the CFA Institute.

### **Bob Speer – Managing Director, Senior Portfolio Manager – U.S. Trust – Palm Beach, FL**

Mr. Speer is a managing director and fixed income portfolio manager with U.S. Trust, Bank of America Private Wealth Management. Mr. Speer is responsible for managing customized fixed income portfolios for the Southeast Division high net worth individuals by overlaying prudent and tactical solutions to each client's concerns mindful of the priority the client has placed on capital preservation, risk tolerance, and duration structure. Prior to joining U.S. Trust, Bob was a vice president and senior banker providing financial planning, trusts and wealth transfer strategies, private banking and investments for JPMorgan Private Wealth Management. Prior to that, he was a Senior Vice President for Fleet Financial Group until that entity's sale to Bank of America. Immediately following that merger, he continued his career with San Francisco based Stone and Youngberg, an independent underwriter of California municipal bonds, and became their New York Division presence. Bob received his B.A. from the Pennsylvania State University.



## **Breakout Session 2A: Real Estate Investing** 3:45 PM - 5:15 PM - Tate 207

### **Dustin Barr, CFA – Director of Research – Carolinas Investment Consulting LLC – Charlotte, NC**



Dustin is the Director of Research at Carolinas Investment Consulting LLC, an independent investment management and financial planning boutique serving high net worth, corporate and institutional clients. Dustin joined the firm in 2015 after spending nearly eight years at TIAA. Most recently, Dustin was Director of Investment Product Management, responsible for development and management of TIAA Asset Management mutual funds and variable annuities. A native of Mooresville, North Carolina, Dustin was a recipient of the Guy E. Beauty Business Scholarship while at the College of Charleston, ultimately receiving a BA in Business with a Finance focus. Dustin holds the Chartered Financial Analyst (CFA) professional designation and is a member of the CFA Institute and the CFA North Carolina Society.

### **Chad W. Phillips – Managing Director – Guggenheim Real Estate – Charlotte, NC**



Mr. Phillips is a Managing Director of Guggenheim Real Estate with a focus on investments and portfolio management. During his tenure at GRE, Chad has participated in the acquisition of over 80 direct property assets with a combined gross acquisition value in excess of \$2.4 billion. Chad is the Co-Portfolio Manager of the PLUS Strategy and the Portfolio Manager of the GRE U.S. Property Strategy, as well as a member of Guggenheim Real Estate's Investment Committee. Prior to joining Guggenheim Real Estate in 2004, Chad was an Associate in Banc of America Securities' Real Estate Investment Banking and Debt Capital Markets Groups, where he was involved in various mergers and acquisitions and capital raising assignments for corporate real estate clients. He is a graduate of Davidson College and has a certificate in Hotel Real Estate Investments and Asset Management from Cornell University.

### **Justin Ferira – Founder & CEO – The Seine Group – Charleston, SC**



Mr. Ferira serves as the founder and CEO of The Seine Group, a real estate investment firm. The firm's investments range a variety of product types, and strategies span opportunistic acquisitions and development projects. Mr. Ferira has helped lead over 50 real estate private equity transactions totaling over \$3 billion in value. Mr. Ferira previously served as an investment professional at The Baupost Group focused on value-investing, and worked at McKinsey & Company focused on mergers and growth strategy. Mr. Ferira received his BA from the University of Virginia, where he was nominated for the Rhodes Scholarship. He earned an MBA from Harvard Business School and an MPA from the Harvard Kennedy School of Government.

### **Jonathan Taylor, CFA, CPA – Managing Director – Greystar – Charleston, SC**



Jonathan Taylor is managing director of investment strategy and research for Greystar, and assists in the formulation and capitalization of the company's investment strategies. He also provides ongoing macro research, including monitoring markets and investment performance across geographies and asset classes. Prior to joining Greystar, Jonathan was an equity research associate at Green Street Advisors, a sell-side equity research company focused on independent real estate research; he also worked at EY, where he serviced real estate companies. Jonathan is a CFA charter holder and also has a CPA. He holds a bachelor of science in accounting from Brigham Young University.

## **Breakout Session 2B: Financial Technology** 3:45 PM - 5:15 PM - Tate 202

### **Andy Nybo – Director – Burton-Taylor International Consulting – Charleston, SC**



Andy Nybo, a Director at Burton-Taylor International Consulting, has more than 30 years' experience in research and technology in global capital markets. Andy joined the firm in March 2017 and is responsible for its Exchange vertical, focusing on how competitive pressures are forcing shifts in business models and strategic initiatives of exchanges as they seek to expand revenue across multiple business segments.. Andy joined Burton-Taylor from TABB Group where he was a managing director in its research practice. There he was responsible for managing TABB's listed derivatives practice. Andy is a member of the Board of Governors of the Security Traders Association and a member of its Listed Options Committee. He also has been a Board Member of the Carolina Securities Traders Association since 2012.

### **Kevin Day – Business Development & Investor Relations – Systematica Investments – New York, NY**



Kevin leads sales and business development across the Americas at Systematica Investments. Systematica is a European based quantitative hedge fund which focuses on rigorously applying science and technology to the investment process. The firm manages approximately \$1.0bn across a number of futures and equity based strategies. Prior to joining Systematica Kevin was the Head of Marketing and Investor Relations at Basswood Capital, an equity long/short hedge fund based in New York City. Kevin spent the majority of his career with BlackRock Investment Management in both the London and the New York offices covering institutional clients across the US, Europe, Africa, and the Middle East. He holds master's degrees from London Business School and Columbia Business School as well as a bachelor's degree from the College of Charleston.

### **Tom Fasano – President of Citi Order Routing and Execution LLC – Charleston, SC**



Tom Fasano is President of Citi Order Routing and Execution LLC, a wholly owned subsidiary of Citigroup formerly known as Automated Trading Desk Financial Services LLC (ATD) located in Mount Pleasant, SC. Prior to accepting his current role in 2014, Tom held numerous positions in the Electronic Trading Division of Citigroup Markets Inc., with a focus on business development and client services across multiple products, including wholesale equity and options execution, algorithmic trading and ECN/ATS liquidity. In 1998 he co-founded and served as President of Allegiance Securities LLC, a NYSE member firm specializing in institutional agency execution. Tom then managed sales and trading at TD Waterhouse Capital Markets LLC before joining Citigroup in 2005.

### **Malcolm Prochaska – SVP of Institutional Trading – The Interstate Group / INTL FCStone – Charlotte, NC**



As a 30 year veteran of "Wall Street South", Malcolm has been involved in nearly every aspect of the brokerage industry. After spending the first half of his career making markets in Nasdaq stocks at firms throughout the Southeast, he transitioned to client focused positions as an Institutional/High Net Worth Trader. Currently, he advises and executes equity, options and fixed income orders for clients as a sales trader for The Interstate Group/INTL FC Stone. His FINRA licenses include General Principal, Municipal Principal, Options Principal, Equity Trader, Commodities Representative and Research Analyst. Malcolm is a graduate of Davidson College receiving a BA in Economics and earned an MBA from Virginia Commonwealth University. He, his wife, Sarah, and their three children live in Charlotte.

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To truly understand Greenwood Capital is to go back more than half a century to a time when the textile industry was flourishing and Greenwood Mills was one of the leading manufacturers in the world. The beginnings stem from the thousands of South Carolina – based employees that entrusted their pension to the Investment Division of Greenwood Mills. In 1983 this Investment Division would become the independent entity Greenwood Capital Associates. The experience of managing a multi-million dollar portfolio and the retirement incomes of so many hard-working families through turbulent times shaped

the investment convictions of the lessons learned in the past and an ever watchful eye on the future, Greenwood Capital's professionals are decidedly disciplined in the approach taken. Today, Greenwood Capital is one of the largest independent investment firms in the Southeast, managing over \$1 billion in assets nationwide through two distinct offerings, GCA Wealth and GCA Investments. GCA Wealth and its team of Private Client Advisors, provide investment advisory services for individuals, families, foundations, endowments and trusts. GCA Investments and its team of investment professionals develop and maintain investment strategies for both GCA Wealth and GCA Investments institutional clients. This legacy has afforded clients prudent growth with a watchful eye toward downside risks. It has brought together a remarkable team of distinguished professionals, rooted in the Upstate with South Carolina values. More information can be found at [www.greenwoodcapital.com](http://www.greenwoodcapital.com).

U.S. Trust, Bank of America Private Wealth Management provides investment management, planned giving, and fiduciary services to a range of institutional clients, including not-for-profit organizations, corporations, public funds, insurance companies, and unions. The company provides wealth management services that include investment, financial, tax, and estate planning and private banking services. Planned giving services include administration, tax preparation, and record-keeping. U.S. Trust also offers investment consulting, tax-intelligent investment management, custody and private-label statement, and check distribution services. U.S. Trust also provides investment management, trustee, and fiduciary consulting services to employee benefit plans of all sizes. It serves as a fiduciary for qualified retirement plans, including 401(k) plans; employee stock ownership plans (ESOPs); and defined benefit plans that invest in blocks of employer securities. In addition, in its fiduciary role, the company makes investment decisions; and acts as an independent trustee protecting the interests of plan beneficiaries. It also resolves the conflicts of interest that arise during corporate recapitalizations, mergers, acquisitions, or management buy-outs. For more information, see [www.ustrust.com](http://www.ustrust.com).



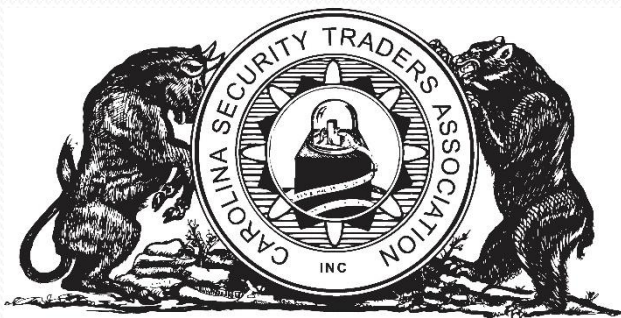
Carolinas Investment Consulting is one of the South's premier investment advisory and financial planning firms. Throughout our more than 15-year history we have navigated the complexities of modern finance, coordinating the affairs of individuals and families, corporations and business owners, foundations and endowments. Our institutional resources and reach are realized through a team of experienced in-house experts who hand-craft solutions for each financial challenge. As an employee -

owned boutique, we deliver personalized attention in a family-friendly environment that giant banks and Wall Street firms simply can't match. As one of the largest firms of its kind in the Southeast, we have access to industry-leading research, tools, and investment opportunities. Because of our independence, we are free to pursue the best interests of our clients without the inherent conflicts found in well-known financial conglomerates that sell their own proprietary products. Our consultants enjoy decades-long multigenerational relationships with our clients. We work with successful entrepreneurs, accomplished professionals, and their families to establish and achieve financial and life goals. We partner in stewardship with charitable foundations and endowments to advance and cement each significant legacy. Carolinas Investment Consulting delivers comprehensive planning and investment management with extraordinary client service.

Hartford Funds uses its human-centric investing approach to understand the rational and emotional ways investors think about their money, investments, and relationships with financial advisors. Our relationships with leading academics and experts delivers deep insights into investor psychology, demographic trends and behavior. Hartford Funds also delivers investment insight to help advisors and clients navigate the investment landscape. Our product line-up includes more than 55 mutual funds (with the exception of certain fund of funds) sub-advised by Wellington Management or Schroders Investment Management in a variety of styles and asset classes, and five strategic beta ETFs. The firm has \$81.5 billion assets under management as of December 31, 2016 (excluding assets used in certain annuity products).



Our benchmark is the investor.<sup>SM</sup>



In 1975 the North Carolina Security Traders Association was incorporated as a non-profit organization dedicated to bringing together investment professionals throughout North Carolina to network and enhance the growth of the investment community within the state. In 2005 our organization expanded to include our fellow investment professionals in the state of South Carolina and we changed the name of our Association to the Carolina Security Traders Association — CSTA. Today our organization is comprised of nearly 100 members, representing over 20 firms throughout the Carolinas and is an affiliate of the Security Traders Association (STA), our national organization. Our mission is to promote and protect the interests of our members; to provide a forum for networking and professional growth; to educate and inform our members of the latest industry trends and issues; to promote the highest business and ethical standards; and to support the efforts and initiatives of the Security Traders Association (STA).

Thank you for attending! We hope to see you again next year.

Visit the SB Investment Program website at: <http://go.cofc.edu/investment>