STRATEGIC INVESTMENT SYMPOSIUM

7th Annual
9 April 2021 • 9:00 AM

COLLEGE OF CHARLESTON
RUN OF SHOW

9:00 AM - 10:30 AM  Global Markets Outlook

11:00 AM - 12:00 PM  Keynote Speaker
  Dr. David Kelly
  Chief Global Strategist, JP Morgan

12:00 PM - 1:00 PM  Lunch

1:00 PM - 2:00 PM  Breakout Session 1
  Value Investing, Quant Investing,
  Business Culture

2:30 PM - 3:30 PM  Breakout Session 2
  Private Equity Investing, Real
  Estate Investing, ESG Investing
GLOBAL MARKETS OUTLOOK SESSION

9:00 AM - 10:30 AM

PHILLIP COLMAR
Partner, Global Strategy,
MRB Partners,
New York City, NY

PETER COSTA
Managing Partner,
Costa Family Office,
New York, NY

ALEX ROEVER, CFA
Managing Director and Head of Interest Rate Strategy,
J.P. Morgan,
New York, NY
KEY NOTE SPEAKER

11:00 AM - 12:00 PM

DR. DAVID KELLY, CFA
Chief Global Strategist,
J.P. Morgan,
New York City, NY
BREAKOUT SESSION 1: VALUE INVESTING
1:00 PM - 2:00 PM

CANNON CARR
CEO, CornerCap Wealth Advisors, Atlanta, GA

VITALIY KATSENELSON, CFA
CEO, IMA – Investment Management Associates, Inc., Aurora, CO

LAUREN TEMPLETON
Founder and President, Templeton & Phillips Capital Management, Chattanooga, TN
BREAKOUT SESSION 1: QUANT INVESTING
1:00 PM - 2:00 PM

FEIFEI LI
Head of Equities, Research Affiliates, Newport Beach, CA

ROBERT MICHAUD
Chief Investment Officer, New Frontier Advisors, Boston, MA
BREAKOUT SESSION 1: BUSINESS CULTURE
1:00 PM - 2:00 PM

ASHER AILEY
Chief Administrative Officer and Chief Legal Officer, Research Affiliates, Newport Beach, CA

SOPHIE FORSTEIN
Commercial Banking Strategies Analyst, JPMorgan Chase & Company, New York City, NY

KALEY WARNER KLEMP
Executive Coach and Author, Key Ways Inc., Boulder, CO
BREAKOUT SESSION 2: PRIVATE EQUITY
2:30 PM - 3:30 PM

CHRIS BASS
Associate,
Reverence Capital Partners,
New York, NY

BETH HAMMOND
Director,
Kohlberg Kravis Roberts & Company,
New York, NY

JUSTIN MCLAIN
Managing Partner,
Duart Mull,
Atlanta, GA
BREAKOUT SESSION 2: REAL ESTATE
2:30 PM - 3:30 PM

TOM CARROLL
Principal, Ballast Rock Capital, Charleston, SC

MATTHEW WEYANDT
Client Portfolio Manager, Real Asset Strategies – Nuveen, Minneapolis, MN

TODD WIGFIELD
Senior Managing Director – East Coast Development, Greystar, Charleston, SC
BREAKOUT SESSION 2: ESG INVESTING
2:30 PM - 3:30 PM

JASON BRITTON
CEO,
Reflection Asset Management, Charleston, SC

SEAN HAYES
President and COO,
Palmetto, Charleston, SC

DR. DIRK SOEHNHOLZ
CEO,
Diversifikator GmbH, Karben, Hesse, Germany
SPEAKER BIOGRAPHIES

Global Markets Outlook

PHILLIP COLMAR – Partner, Global Strategy, MRB Partners, New York City, NY
Phillip Colmar is a founding partner at MRB Partners. He has extensive experience, both as a strategist and economist. Mr. Colmar focuses on global multi-asset investment strategy, trading opportunities, and financial market risks. His expertise is in identifying and developing macro and investment themes. Mr. Colmar speaks at conferences, seminars and client meetings. Over his career, Mr. Colmar has covered all major global asset classes and has developed comprehensive frameworks, models, and indicators. Prior to forming MRB, he was the Head of both the Daily Insights and Global Fixed Income Strategy services at BCA Research Inc. Mr. Colmar has an M.Sc. in Finance from Queen’s University, as well as a B.A. in Economics and a B.S. in Business Administration (Finance) from Bishop’s University.

PETER COSTA – Managing Partner, Costa Family Office, New York, NY
Peter P. Costa is a former Governor with the NYSE, where he began his career as a clerk on the floor over 30 years ago. As head of the Costa Family Office, Mr. Costa is responsible for managing the wealth of the Costa family who acquired their wealth through their involvement with Horizon Kinetics LLC, which includes the flagship Kinetics Internet Fund since its inception. Holding senior trading positions at Lehman Brothers, Francis P. Maglio Inc., Bear Stearns, and Eckhart &amp; Company, Mr. Costa co-founded Empire Executions Inc. in 2008. Mr. Costa served as a Governor for 12 years, and a CNBC analyst for 26 years. A proud alumnus of the University of Tennessee, Mr. Costa currently serves on the Board of Advisors of the University of Tennessee Torch Fund and is also a member of the Haslam School of Business Faculty Advisory Board.

ALEX ROEVER, CFA – Managing Director and Head of Interest Rate Strategy, J.P. Morgan, New York, NY
Alex Roever is a Managing Director and the Head of US Interest Rate Strategy for J.P. Morgan Securities LLC. His team covers US government securities markets, interest rate derivatives and money markets. His views appear regularly in US Fixed Income Markets Weekly, which is available to the firm’s institutional clients. He is also a frequent contributor to J.P. Morgan’s At Any Rate podcast. Institutional Investor magazine has named Alex to its 2020 All-America Fixed Income Research Team, recognizing him with #1 rankings for the Fixed Income Strategy and Short Duration Strategy categories. His market views are frequently highlighted in the financial press, and he has been featured on CNBC and Bloomberg Television. Alex is a CFA charterholder. He earned an MBA from Emory University, a M.A. in economics from Georgia State and a B.A. from Vanderbilt University.
Keynote Speaker

DR. DAVID KELLY, CFA – Chief Global Strategist, J.P. Morgan, New York City, NY

Dr. David Kelly is the Chief Global Strategist and Head of the Global Market Insights Strategy Team for J.P. Morgan Asset Management. With over 20 years of experience, David provides valuable insight and perspective on the economy and markets to the institutional investor and financial advisor global communities.

Throughout his career, David has developed a unique ability to explain complex economic and market issues in a language that financial professionals can use to communicate to their clients. He is a keynote speaker at many national investment conferences and a frequent guest on CNBC, Bloomberg, and other financial media outlets.

Prior to joining J.P. Morgan Asset Management, David served as Economic Advisor to Putnam Investments. He has also served as a senior strategist/economist at SPP Investment Management, Primark Decision Economics, Lehman Brothers and DRI/McGraw-Hill.

David is a CFA® charter holder. He also has a Ph.D. and M.A. in Economics from Michigan State University and a B.A. in Economics from University College Dublin in the Republic of Ireland.
SPEAKER BIOGRAPHIES

Value Investing

CANNON CARR – CEO, CornerCap Wealth Advisors, Atlanta, GA
Cannon Carr, CEO of CornerCap Wealth Advisors, joined the firm after more than a decade delivering market insights as a Wall Street analyst, where his equity analyst expertise was sought out by top tier media outlets such as CNBC and The Wall Street Journal. Since joining CornerCap Wealth Advisors, which specializes in providing customized investment strategies and financial guidance for high-net-worth individuals and families, Cannon has driven portfolio allocations for clients by quarterbacking new strategies, processes, and stock and asset class research. Public service is important to Cannon. He is president of the Atlanta Rotary Club, heads the investment committee for the Wesleyan College endowment and serves as chair of the advisory board for the Center for Ethics at Emory University. He is a past board member of the Technical College System of Georgia Foundation.

VITALIY KATSENELSON, CFA – CEO, IMA – Investment Management Associates, Inc., Aurora, CO
Vitaliy Katsenelson, CFA is CEO at IMA. Vitaliy has written two books on investing, which were published by John Wiley & Sons. He is working on a third - you can read a chapter from it, titled “The 6 Commandments of Value Investing” here. You can read Vitaliy’s articles on ContrarianEdge.com. You can find audio versions of his articles at investor.fm.

LAUREN TEMPLETON – Founder and President, Templeton & Phillips Capital Management, Chattanooga, TN
Lauren C. Templeton is the founder and president of Templeton & Phillips Capital Management, LLC; a value investing boutique located in Chattanooga, Tennessee. Ms. Templeton currently serves on the Board of Directors at Fairfax Financial Holdings, the Board of Directors at Fairfax India Holdings Corporation, and the Board of Directors of Canadian Solar. Lauren is the great niece of Sir John M. Templeton and serves on the Board of Trustees of the John Templeton Foundation. She is also a current member the Templeton World Charities Foundation and a Trustee of Templeton Religion Trust. Ms. Templeton is the co-author of Investing the Templeton Way: The Market Beating Strategies of Value Investing’s Legendary Bargain Hunter. She received a B.A. in Economics from the University of the South.
Quant Investing

DR. FEIFEI LI – Head of Equities, Research Affiliates, Newport Beach, CA
Dr. Feifei Li is head of equities for Research Affiliates, a global leader in smart beta, factor investing, and asset allocation. The firm delivers investment solutions around the world in partnership with leading financial institutions. As head of equities, she leads cross-sectional equity research and strategy design that supports the firm's systematic active portfolios and smart beta indices. Feifei has published more than 40 articles and journal papers in both academic and practitioner journals and has won best article awards from the Journal of Portfolio Management and European Financial Management. She has taught undergraduate and MBA finance classes at the California Institute of Technology and the University of California, Irvine. She is a Program Committee member at Q Group and serves as a reviewer for multiple academic and practitioner journals such as the Financial Analysts Journal. Feifei earned a B.A. from Tsinghua University's School of Management and Economics in Beijing and a Ph.D. in finance from the University of California, Los Angeles. She also holds the Financial Risk Manager designation.

ROBERT MICHAUD – Chief Investment Officer, New Frontier Advisors, Boston, MA
Robert Michaud is CIO at New Frontier. A financial theorist and technologist, he co-invented Michaud Optimization (Resampled Efficiency) and holds four U.S. patents in portfolio optimization and investment management. He is best known for his solutions to investing for an uncertain future. Robert’s research lies at the intersection of academic and practitioner finance and providing innovations to applied asset management. In 2004, he pioneered the development of core asset allocation strategies using Exchange Traded Funds (ETFs). Robert holds a Masters in Mathematics from Boston University and pursued a Ph.D. in finance from the Anderson School of Management at the University of California, Los Angeles before joining New Frontier.
SPEAKER BIOGRAPHIES

Business Culture

KALEY WARNER KLEMP – Executive Coach and Author, Key Ways Inc., Boulder, CO
Kaley Warner Klemp is a sought-after speaker, YPO and executive team facilitator, and transformational executive coach. She advises senior executives on how to uncover and address core challenges in communication, trust and culture. Kaley is an Enneagram personality model specialist, helping organizations outperform their competitors by unlocking a deeper understanding of what motivates and drives people. Kaley co-authored The Drama-Free Office and The 15 Commitments of Conscious Leadership and wrote 13 Guidelines for Effective Teams. Her new book, which she co-authored with her husband, The 80/80 Marriage: A New Model for a Happier, Stronger Relationship (Penguin Random House) was released in February. See her TEDx talk and learn more about Kaley at www.kaleyklemp.com.

ASHER AILEY – Chief Administrative Officer and Chief Legal Officer, Research Affiliates, Newport Beach, CA
Asher Ailey currently serves as Research Affiliates' Chief Administrative Officer, Chief Legal Officer, and Head of Compliance. Asher leads the firm's global legal and compliance programs and supervises the firm's human capital and office administration functions. He also serves as a member of the firm's Investment and Management Committees and leads the firm’s Diversity, Equality, and Inclusion initiative. Asher serves as a member of the Board of Directors of Henderson Rowe Ltd., a United Kingdom-based wealth management firm. Asher is a licensed attorney with extensive legal and compliance experience. Asher received his B.A. from Temple University and his J.D. from Rutgers University.

SOPHIE FORSTEIN – Commercial Banking Strategies Analyst, JPMorgan Chase & Company, New York City, NY
Sophie Forstein is a rotational analyst at JPMorgan Chase. Completing three rotations over two years, she has gained wide exposure to multiple areas of the firm. She currently sits on the Commercial Banking strategy team where she helps support top priority projects for the bank. Previously, Sophie was an analyst on the Women On the Move Strategy team, a group focused on supporting female owned businesses, educating women and girls on financial health topics, and helping JPMorgan employees advance their careers. In this role she helped coordinate an event with 40+ speakers including notable guests like Dr. Condoleezza Rice and Ms. Oprah Winfrey. Sophie holds a B.S. in Accounting from the College of Charleston.
SPEAKER BIOGRAPHIES

Private Equity

CHRIS BASS – Associate, Reverence Capital Partners, New York, NY
Christopher Bass is an Associate at Reverence Capital. Prior to Reverence, Mr. Bass worked at Landmark Partners as an Associate on their private equity team, where he focused on the analysis and execution of secondary transactions. Mr. Bass has an MBA from Columbia University and a B.S. in Economics from the College of Charleston.

BETH HAMMOND – Director, Kohlberg Kravis Roberts & Company, New York, NY
Elizabeth Hammond joined KKR’s Client and Partner Group in 2009. Prior to joining KKR, she was a director at Citi Alternative Investments where she was involved in consultant relations and had responsibility for managing the RFP team. Ms. Hammond has 20 years of experience working in asset management sales and marketing. Her prior experience includes Siguler Guff, Deutsche Asset Management, and Lynch & Mayer. She holds a B.S. from the College of Charleston and an MBA from Fordham University.

JUSTIN MCLAIN – Managing Partner, Duart Mull, Atlanta, GA
Justin R. McLain is a Managing Partner at Duart Mull. He is responsible for directing the investment activities of the funds held in its trust. McLain’s duties include evaluating new investment opportunities, overseeing the activities of the outside fund managers, directly managing the firm’s private equity placements, enhancing the enterprise value of Duart Mull’s portfolio companies through active strategic involvement, and fostering investor and community relationships. In addition to his position at Duart Mull. McLain has been honored as an Ernst & Young Entrepreneur of the Year Finalist and named as one of Atlanta’s Top 25 Entrepreneurs by Business to Business Magazine. He is a member of the Southern 7 Chapter of the Young Presidents’ Organization (YPO) and is actively involved in mentoring and investing in entrepreneurs. McLain has a B.S. in Business Administration, with a minor in Economics, as well as a B.S. in Biology, from the College of Charleston. He remains very active in various roles supporting the College of Charleston.
SPEAKER BIOGRAPHIES

Real Estate

TOM CARROLL – Principal, Ballast Rock Capital, Charleston, SC
Tom Carroll founded Ballast Rock and built the team needed to originate and manage both Sunbelt Fund I and Sunbelt Fund II. The Ballast Rock Sunbelt funds are focused on investing and managing workforce multifamily real estate investing in the South Eastern United States. Prior to working in real estate, Tom had a fourteen-year Wall Street career. Most recently, he concluded nine years at Goldman Sachs & Co. as a trading desk head on the GS New York Fixed Income, Currencies and Commodities trading floor. He has headed multiple desks primarily focused on structured financing, complex transaction execution, and institutional investor marketing at Goldman Sachs. His international career at both Goldman Sachs and HSBC, has led him to live and work in New York, London, Dubai, and Sao Paulo. Tom received an M.A. (Hons) in Economics from the University of Edinburgh in Scotland.

MATTHEW WEYANDT – Client Portfolio Manager, Real Asset Strategies – Nuveen, Minneapolis, MN
Matthew Weyandt is a client portfolio manager for Nuveen’s real asset strategies. In this role, he works with portfolio managers to develop and communicate information about the products’ investment teams, process, and performance to institutional, retail, and defined contribution analysts and advisors. Prior to his current role, he was a portfolio specialist supporting all the firm’s equity strategies. Prior to that, he was an associate product manager and product analyst. Matthew holds a B.S. in Economics with a concentration in Management from the Wharton School of the University of Pennsylvania.

TODD WIGFIELD – Senior Managing Director – East Coast Development, Greystar, Charleston, SC
Todd Wigfield is a Senior Managing Director for Greystar Development and Construction Services, covering the entire East Coast market. Todd is responsible for site selection, underwriting, capitalization, and execution of new development opportunities. To date, Todd’s teams have been directly responsible for executing over $3.7 billion of new development projects totaling close to 12,000 units, with another $2.12 billion and 6,000+ units in the approved pipeline. Todd holds a B.S. in Business Administration from the College of Charleston and is a member of the Urban Land Institute.
ESG Investing

JASON BRITTON – CEO, Reflection Asset Management, Charleston, SC
Jason Britton is the founder and managing partner of Reflection Analytics, a technology company delivering ESG investment evaluation, reporting and advisory services to platforms, institutions and high-net-worth individuals. Mr. Britton also serves as founder and CIO of Reflection Asset Management, an investment advisory firm specializing in the integration of ESG principles across asset classes and the creation and distribution of top decile performing investment products across multiple themes. Jason currently has five patents/trademarks for his work in ESG investing. During his multi-decade career Mr. Britton has advised on over $5 billion in assets in the ESG field across the equity, fixed-income and alternatives asset classes. He is a graduate of the McDonough School of Business at Georgetown University where he earned his B.S.B.A. and he received his MBA from the Yale School of Management.

SEAN HAYES – President and COO, Palmetto, Charleston, SC
Sean Hayes is the Chief Operating Officer at Palmetto Clean Technology, Inc. Palmetto is a clean technology company aimed at accelerating the national adoption of clean energy products and services through its marketplace. Since inception, Palmetto has helped deploy clean energy solutions to thousands of homeowners across the United States. Sean is tasked with overseeing operational fulfilment logistics, policy and customer experience. Sean holds a B.S. in Economics from the College of Charleston.

DR. DIRK SOEHNHOLZ – CEO, Diversifikator GmbH, Karben, Hesse, Germany
Dr. Dirk Soehnholz is the founder and CEO of Diversifikator GmbH, a model portfolio provider with focus on most responsible investments (ESG and Impact/SDG). Previously, he worked at Boston Consulting Group, Feri Alternative Asset, Feri Institutional Advisors, and Veritas Investment. He is an honorary professor of asset management at Leipzig University.