College of Charleston Strategic Investment Symposium

April 17th, 2015

Register now HERE!

Schedule of Events

Time	Activity
1:00 – 2:00	Registration / Meet and Greet
2:00 – 3:30	Breakout Session 1 Session 1A: Value Investing Session 1B: Quant Finance
4:00 – 5:30	Breakout Session 2 Session 2A: Technical Trading Session 2B: Private Equity Investing
6:00 – 7:00	Keynote Address
7:00 – 8:30	Reception

Keynote Speaker - Chris Concannon

CEO of BATS Global Markets - New York City, NY

Chris Concannon joined BATS Global Markets as President in December 2014, bringing more than 20 years of experience as an exchange executive, trading participant, and regulator. He is involved in all strategic aspects of the firm and is a member of the Executive Committee. Mr. Concannon previously spent six years at Nasdaq as executive vice president of transaction services. Immediately prior to joining BATS, Mr. Concannon was president and chief operating officer of Virtu Financial, a global electronic market maker, from 2009 to 2014. Mr. Concannon served as a director on the BATS Exchange board from March 2012 to November 2014 and the Depository Trust & Clearing Corporation's board from April 2010 to November 2014. Mr. Concannon holds a bachelor's degree from Catholic University, an MBA from St. John's University and a JD from Catholic University's Columbus School of Law. He also holds Series 7 and 24 licenses.

Christian H. M. Albert

Managing Partnerr, Bowside Capital – Charleston, SC

Christian Albert is the managing partner of Bowside Capital, a private investment firm that specializes in the private equity, small-capitalization market. Bowside Capital invests in U.S. and Canadian private equity funds with capital commitments of $150 million or less, makes secondary purchases of limited partner interests in funds that meet its target criteria and co-invests with these small funds in direct transactions. Mr. Albert was most recently the founder of The Albert Group, LLC, and was previously a regional director at Targus Group International.

Alex B. Rozek

Managing Member, Boulderado Group – Boston, MA

Alex B. Rozek is the managing member of Boulderado Group, a partnership founded in 2007. Mr. Rozek also serves on the Board of Directors of Spencer Capital Holdings. Prior to founding Boulderado in the Fall of 2007, Mr. Rozek was an analyst for Water Street Capital. Preceding Water Street, Mr. Rozek worked an analyst in the Specialty Finance Investment Banking Group at Friedman Billings Ramsey (FBR) in Washington, DC. Mr. Rozek graduated from the University of North Carolina at Chapel Hill in 2002 with a B.S. in Biology and a minor in Chemistry.

Paul G. Meeks, CFA

Director of Institutional Investing, Saturna Capital – Bellingham, WA

Paul has been an equity analyst, portfolio manager, or institutional marketer since 1987. He graduated from Williams College in 1985 and earned an MBA from Kellogg in 1992. He became a CFA in 1996. As senior portfolio manager and managing director at Merrill Lynch Investment Managers, he started and managed six portfolios with a total of over $7 billion in assets. At Saturna he specializes in analyzing global technology companies and their securities. Paul also assists with Saturna's institutional asset management business. He assumed management of the Sextant Growth Fund in April 2013.

Tim Snavely, CFA, CMT

Portfolio Manager, Crawford Investment Counsel – Atlanta, GA

Tim Snavely is a portfolio manager at Crawford Investment Counsel where he manages equity and balanced portfolios for individual and institutional clients. He spent eight years as an equity research analyst at a large regional broker-dealer, publishing top-down investment strategy, tactical market analysis, and stock and ETF recommendations for advisors and institutional clients. He also spent six years working exclusively with institutional clients, sharing equity research and investment strategy recommendations with portfolio managers at mutual funds and hedge funds. Tim has been a director and officer of the Market Technicians Association since 2006, and he currently serves as Treasurer.

Dean Christians, CMT

Vice President and Manager of Trading, Montag and Caldwell – Atlanta, GA

Dean Christians joined Montag & Caldwell in 1999 and currently serves as an equity and fixed income trader. Prior to joining Montag & Caldwell, he worked on the floor of the CBOT, co-founded DCFX Funds and traded a proprietary equity account for the Pinnacle Trading Group. His professional affiliations include the Market Technicians Association, where he currently serves as the Atlanta Chapter Chair, and from which he received the Chartered Market Technician designation.

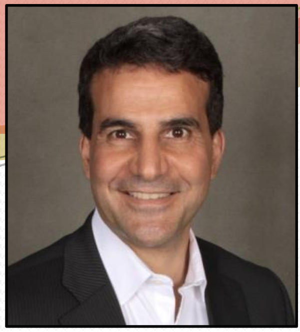
Andy Mason

Founder and Managing Partner, Peloton Capital Partners – Atlanta, GA

Andy Mason is the Founder and Managing Partner of Peloton Capital Partners, LLC which provides strategic advisory, M&A and capital raising advisory services to middle market companies. Andy is also a Managing Partner and Co-founder of Palmares Capital Partners, LLC, an investment firm providing investment capital to lower middle market companies. Prior, Andy was a Managing Director and a co-founder of VRA Partners. Prior to founding VRA Partners, Andy was a Managing Director, Co-Head of the Private Markets Group and a senior member of the Mergers & Acquisitions Group of The Robinson-Humphrey Company and its successor, SunTrust Robinson Humphrey.

Sameer Shalaby

Managing Partner and Co-Founder of Select Venture Partners – Fredricksburg, VA



Sameer is a serial entrepreneur, with extensive startup, management and board experience and several successful exits. His early experience includes operating roles at NASA and Oracle. In 1993, Shalaby co-founded TenFold Corporation, leading TenFold to a successful IPO and $1B+ market cap, and Paladyne in 2005 with an $80M M&A in 2011. Sameer has a BS in EE&CS from George Washington University and an MS from MIT in Artificial Intelligence. Sameer has raised over $25M of venture capital in multiple companies.

Tyson Halsey, CFA

Managing Member, Income Growth Advisors – Daniel Island, SC

Mr. Halsey brings 30 years of investment experience, 14 years of Master Limited Partnership investment experience, and nearly a decade of quantitative investment strategy to Income Growth Advisors, LLC (IGA). He has worked at leading investment firms Merrill Lynch, Alex Brown and Deutsche Bank in the 1990s. Halsey has worked with high net worth corporate executives in Silicon Valley and New York and worked in the Corporate Executive Services Group at Deutsche Bank Alex Brown. In 1993 Halsey was conferred the CFA designation and has been a member of the New York Society of Security Analysts for over a decade. Previously, Halsey established Halsey Advisory and Management, LLC, and worked with Brimberg & Company in Rockefeller Center.

Joanne M. Hill, Ph.D.

Head of Institutional Investment Strategy, ProShares Advisors – Bethesda, MA

Dr. Hill currently serves as Head of Institutional Investment Strategy at ProShares, a premier provider of alternative exchange-traded funds (ETFs) with over $25B of assets. Her responsibilities include portfolio strategy, product research and education. Prior to joining ProShares in 2009, she spent 17 years at Goldman Sachs, where she was a Managing Director, leading global equity index, quantitative, and derivatives research. Dr. Hill was recently named one of the ten inaugural recipients of the Top Women in Asset Management Awards by Money Management Executive, and she is Co-President of Women in ETFs. Dr. Hill is a recipient of the William F. Sharpe Indexing Lifetime Achievement Award and has published extensively. Earlier in her career, she was an Associate Professor of Finance at University of Massachusetts (Amherst). She received a PhD in Finance and an MBA from Syracuse University.

Frank H. Kenan II

Co-Founder and Principal, KD Capital Management – Charleston, SC

Kenan II is co-founder and a Principal of KD Capital Management, LLC. Prior to founding KD Capital in September 2014, Mr. Kenan was an analyst for Boulderado Group, a concentrated value oriented investment fund based in Boston, MA from 2011-2014. Prior to, and during his time at Boulderado, Mr. Kenan helped manage a portfolio of commercial real estate properties and public equity investments for his family office. Prior to the work with his family office, Mr. Kenan was a Development Associate at Edens & Avant. While at Edens & Avant, Mr. Kenan focused on managing every stage of ground-up retail developments from site selection and financial underwriting through final completion. Before joining Edens & Avant, Mr. Kenan was an Underwriting Analyst for the Vivum Group, a private equity firm. Mr. Kenan earned a B.S. in Anthropology from the College of Charleston in 2005 and a MBA from the The University of North Carolina's Kenan-Flagler Business School in 2011.

Scott Howell

Founding Partner, Ground Swell Capital – Folly Beach, SC

Scott is the founding partner of Groundswell Capital, LLC, located in Folly Beach, SC. Groundswell is a portfolio management company and investment advisor that specializes in the use of quantitative, systematic investment strategies in the US and major European equity markets. Prior to founding Groundswell, Scott was employed by Citi, where he helped lead the team that created the electronic market making desk for pan European equities. He also contributed to the development and build-out of the Citi Match dark pool. Upon graduating from the College of Charleston, Scott worked for Automated Trading Desk (ATD), based in Mount Pleasant, SC, for eight years prior to Citi's purchase of the firm. During the last four of those, he was the head trader of ATDB, the proprietary trading division of ATD.

Chris Permenter

Head of Operations at Lone Palm, Tower Research Capital – Mount Pleasant, SC

Chris is a 2005 graduate of the College of Charleston Honors College with Degrees in Biochemistry and Political Science. Chris is currently Head of Operations for Tower Research Capital's Lone Palm trading group based in Mount Pleasant, SC. In addition, Chris is responsible for keeping abreast of all market structure and regulatory changes that might impact their business. As a sophomore at the College of Charleston, Chris was hired by Automated Trading Desk Financial Services, a trading and technology firm in Mt Pleasant that specializes in fully automated algorithms that trade the U.S. stock markets. Chris was with the firm for 11 years until joining Tower Research in May of 2014. At ATD (now a subsidiary of Citi), Chris was most recently the President of the US Equities wholesale market maker and before that, he was Head of Operations for the same group.

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Visit the SB Investment Program's website at: http://go.cofc.edu/investment

Extracurricular Activities for April 18, 2015

Since it may be the first trip to beautiful Charleston for some, or perhaps it has just been too long, we strongly encourage you to extend your stay for at least another day and join us in social activities on Saturday, the 18th.

- On Saturday AM, please consider joining us for a round of golf at beautiful Patriot's Point Links on Charleston Harbor. We have secured a discounted rate for our group.
- On Saturday PM, we have rented The Alley, a local Charleston bowling establishment, for use by symposium participants. Bowling is free for participants and there is an abundance of food and drink that can be purchased through The Alley's services.
- If you have interest in any other activity, such as fishing or touring our historical city, we also stand ready to offer contacts and discounted fees.

Following registration, you will be contacted to inquire as to whether you have interest in participating in these events.

A big thank you to our sponsors …

CFA Society South Carolina

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